

NVB 3001 (Effective 1/21)

| UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA   |   | PROOF OF INTEREST   |  |  |
|---|---|---|--|--|
| Name of Debtor:<br><b>Meta Materials</b>  | Case Number:<br><b>24-50792</b>   | <b>RECEIVED AND FILED</b><br><b>DEC - 9 2024</b><br><b>U.S. BANKRUPTCY COURT</b><br><b>MARY A. SCHOTT, CLERK</b><br><b>COURT USE ONLY</b> |  |  |
| 1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"):<br><br><b>DOUGLAS BANE</b><br><b>705 W. Queen Creek Rd</b><br><b>#1172</b><br><b>Chandler, AZ 85248</b>   | <input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars.<br><br><input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case.<br><br><input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.    |   |  |  |
| Telephone Number:<br><b>480-862-3071</b>  |   |   |  |  |
| <p><b>NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.</b></p> |   |   |  |  |
| Account or other number by which Interest holder identifies Debtor:<br><b>723-179299, X91-675819</b>  | Check here if this claim:<br><input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____<br><input type="checkbox"/> amends a previously filed Proof of Interest dated: _____   |   |  |  |
| 2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest:<br><br><b>FIDELITY INVESTMENTS</b><br><b>215 Summer St. Boston, MA 02210</b>  | 3. Date Equity Interest was acquired:<br><br><b>75,812 shares</b> <i>See attached</i>   |   |  |  |
| Telephone Number:<br><b>860-343-3548</b>  | 5. Certificate number(s): _____   |   |  |  |
| 4. Total amount of member interest: <b>\$108,694.75 less</b>  |   |   |  |  |
| 6. Type of Equity Interest:<br>Please indicate the type of Equity Interest you hold:<br><br><input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor.<br><input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest:<br><b>Description: INVESTOR</b>   |   |   |  |  |
| 7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc.<br>DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.  |   |   |  |  |
| 8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.   |   |   |  |  |
| 9. Signature:<br>Check the appropriate box.   | <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent.<br><small>(Attach copy of power of attorney, if any.)</small> <input checked="" type="checkbox"/> I am the trustee, or the debtor, or their authorized agent.<br><small>(See Bankruptcy Rule 3004.)</small> <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor.<br><small>(See Bankruptcy Rule 3005.)</small> |   |  |  |
| <p>I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.</p> <p>Print Name: <b>DB7 TRUST</b> <i>Doug Bane</i><br/>   Title: <b>Douglas Bane Trustee</b><br/>   Company: <b>Address and telephone number (if different from notice address above):</b><br/> <small>Telephone number: 480-862-3071 Email: d.bane@outlook.com</small> </p>   |   |   |  |  |

*Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571*



# 2022 TAX REPORTING STATEMENT

DOUGLAS BANE

Personal Acct

 Account No. **0000000000** Customer Service: 800-544-6666  
 Recipient ID No. **0000000000** Payer's Fed ID Number: 04-3523567

## FORM 1099-B\*

## 2022 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

**Short-term transactions for which basis is reported to the IRS** --report on Form 8949 with Box A checked and/or Schedule D, Part I  
 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in bold type)

| 1a Description of property, Stock or Other Symbol, CUSIP  |           |                  |                          |                  |                            |                            |                              |               |                               |                                |
|---|-----------|------------------|--------------------------|------------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|--------------------------------|
| Action  | Quantity  | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds      | 1e Cost or Other Basis (b) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State 16 State Tax Withheld |
| BED BATH AND BEYOND COM USD0.01, BBBY, 075896100          |           |                  |                          |                  |                            |                            |                              |               |                               |                                |
| Sale  | 95.000    | 08/15/22         | 08/15/22                 | 1,481.96         | 1,393.65                   |                            |                              | 88.31         |                               |                                |
| FARADAY FUTRE INTLGTELCTR INC COM, FFIE, 307359109        |           |                  |                          |                  |                            |                            |                              |               |                               |                                |
| Sale  | 88.000    | 07/07/22         | 07/07/22                 | 474.30           | 513.92                     |                            |                              | -39.62        |                               |                                |
| GLADSTONE LD CORP COM, LAND, 376549101                    |           |                  |                          |                  |                            |                            |                              |               |                               |                                |
| Sale  | 214.000   | 01/14/22         | 01/20/22                 | 6,535.52         | 7,202.10                   |                            |                              | -666.58       |                               |                                |
| Sale  | 106.000   | 01/14/22         | 01/20/22                 | 3,241.24         | 3,567.40                   |                            |                              | -326.16       |                               |                                |
| Sale  | 36.000    | 01/14/22         | 01/20/22                 | 1,099.79         | 1,211.57                   |                            |                              | -111.78       |                               |                                |
| <b>Subtotals</b>  |           |                  |                          | <b>10,876.55</b> | <b>11,981.07</b>           |                            |                              |               |                               |                                |
| LIQUIDIA CORPORATIONCOM NEW, LQDA, 53635D202              |           |                  |                          |                  |                            |                            |                              |               |                               |                                |
| Sale  | 2,200.000 | 01/04/22         | 01/07/22                 | 13,837.92        | 12,584.00                  |                            |                              | 1,253.92      |                               |                                |
| META MATERIALS INC COM ISIN #US59134N104, MMAT, 59134N104 |           |                  |                          |                  |                            |                            |                              |               |                               |                                |
| Sale  | 1,540.000 | 12/22/21         | 02/23/22                 | 2,479.38         | 4,125.81                   |                            |                              | -1,646.43     |                               |                                |
| Sale  | 660.000   | 12/22/21         | 02/28/22                 | 1,366.19         | 1,768.21                   |                            |                              | -402.02       |                               |                                |
| Sale  | 1,345.000 | 12/23/21         | 02/28/22                 | 2,784.13         | 3,680.73                   |                            |                              | -896.60       |                               |                                |
| <b>Subtotals</b>  |           |                  |                          | <b>6,629.70</b>  | <b>9,574.75</b>            |                            |                              |               |                               |                                |
| MOLECULAR TEMPLATES INC COM, MTEM, 608550109              |           |                  |                          |                  |                            |                            |                              |               |                               |                                |
| Sale  | 3,300.000 | 12/30/21         | 01/04/22                 | 13,034.93        | 14,322.00                  |                            |                              | -1,287.07     |                               |                                |
| PINEAPPLE ENERGY INCCOM USD0.05, PEGY, 72303P107          |           |                  |                          |                  |                            |                            |                              |               |                               |                                |
| Sale  | 2.000     | 10/12/22         | 10/25/22                 | 8.59             | 13.24                      |                            |                              | -4.65         |                               |                                |

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# 2022 TAX REPORTING STATEMENT

DB 7 TRUST

 Account No. ~~223456789~~ Customer Service: 800-544-6666  
 Recipient ID No. ~~223456789~~ Payer's Fed ID Number: 04-3523567

|                  |         |          |          |                  |                  |              |           |
|------------------|---------|----------|----------|------------------|------------------|--------------|-----------|
| Sale             | 75.000  | 04/22/22 | 04/22/22 | 2,524.48         | 2,633.25         | 87.02        | -108.77   |
| Sale             | 465.000 | 04/22/22 | 04/25/22 | 13,805.92        | 16,326.15        |              | -2,520.23 |
| Sale             | 60.000  | 04/22/22 | 04/25/22 | 1,781.41         | 2,193.02         |              | -411.61   |
| <b>Subtotals</b> |         |          |          | <b>18,111.81</b> | <b>21,152.42</b> | <b>87.02</b> |           |

## HUDSON TECHNOLOGIES INC, HDSN, 444144109

|                  |           |          |          |                  |                  |               |          |
|------------------|-----------|----------|----------|------------------|------------------|---------------|----------|
| Sale             | 500.000   | 04/22/22 | 04/25/22 | 3,094.98         | 3,465.00         | 370.02        | -370.02  |
| Sale             | 695.000   | 04/22/22 | 05/05/22 | 6,060.37         | 4,816.35         |               | 1,244.02 |
| Sale             | 500.000   | 04/25/22 | 05/05/22 | 4,359.98         | 3,645.02         |               | 714.96   |
| Sale             | 2,095.000 | 04/28/22 | 05/05/22 | 18,268.30        | 13,722.25        |               | 4,546.05 |
| <b>Subtotals</b> |           |          |          | <b>31,783.63</b> | <b>25,648.62</b> | <b>370.02</b> |          |

## META MATERIALS INC COM ISIN #US59134N104, MMAT, 59134N104

|      |           |          |          |          |           |  |           |
|------|-----------|----------|----------|----------|-----------|--|-----------|
| Sale | 1,000.000 | 11/29/21 | 02/08/22 | 1,631.59 | 3,820.00  |  | -2,188.41 |
| Sale | 1,000.000 | 11/29/21 | 03/28/22 | 1,849.99 | 3,820.00  |  | -1,970.01 |
| Sale | 4,000.000 | 12/02/21 | 03/28/22 | 7,399.96 | 13,400.00 |  | -6,000.04 |
| Sale | 700.000   | 12/02/21 | 03/31/22 | 1,224.99 | 2,345.00  |  | -1,120.01 |
| Sale | 300.000   | 12/02/21 | 03/31/22 | 516.00   | 1,005.00  |  | -489.00   |

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# 2022 TAX REPORTING STATEMENT

DB 7 TRUST

 Account No. 523-00000 Customer Service: 800-544-6666  
 Recipient ID No. 523-00000 Payer's Fed ID Number: 04-3523567
**FORM 1099-B\***

Copy B for Recipient OMB No. 1545-0715

**2022 Proceeds from Broker and Barter Exchange Transactions**
**Short-term transactions for which basis is reported to the IRS** --report on Form 8949 with Box A checked and/or Schedule D, Part I

 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)
(IRS Form 1099-B box numbers are shown below in **bold** type)

| 1a Description of property, Stock or Other Symbol, CUSIP  |           |                  |                          |             |                            |                            |                              |               |                               |                       |
|---|-----------|------------------|--------------------------|-------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| Action  | Quantity  | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (b) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State              |
|   |           |                  |                          |             |                            |                            |                              |               |                               | 16 State Tax Withheld |
| META MATERIALS INC COM ISIN #US59134N104, MMAT, 59134N104 |           |                  |                          |             |                            |                            |                              |               |                               |                       |
| Sale  | 4,700.000 | 12/02/21         | 03/31/22                 | 8,083.95    | 15,651.00                  |                            |                              | -7,567.05     |                               |                       |
| Sale  | 300.000   | 12/02/21         | 04/12/22                 | 441.00      | 999.00                     |                            |                              | -558.00       |                               |                       |
| Sale  | 700.000   | 12/02/21         | 04/12/22                 | 1,028.99    | 2,355.50                   |                            |                              | -1,326.51     |                               |                       |
| Sale  | 4,300.000 | 12/02/21         | 04/12/22                 | 6,320.97    | 14,469.50                  |                            |                              | -8,148.53     |                               |                       |
| Sale  | 800.000   | 12/02/21         | 04/12/22                 | 1,175.99    | 2,652.00                   |                            |                              | -1,476.01     |                               |                       |
| Sale  | 3,900.000 | 12/02/21         | 04/12/22                 | 5,732.97    | 12,928.50                  |                            |                              | -7,195.53     |                               |                       |
| Sale  | 300.000   | 12/02/21         | 04/20/22                 | 414.12      | 994.50                     |                            |                              | -580.38       |                               |                       |
| Sale  | 5,000.000 | 12/02/21         | 04/20/22                 | 6,901.97    | 16,625.00                  |                            |                              | -9,723.03     |                               |                       |
| Sale  | 5,000.000 | 12/02/21         | 04/20/22                 | 6,901.97    | 16,600.00                  |                            |                              | -9,698.03     |                               |                       |
| Sale  | 5,000.000 | 12/02/21         | 04/20/22                 | 6,901.97    | 16,725.00                  |                            |                              | -9,823.03     |                               |                       |
| Sale  | 700.000   | 12/07/21         | 04/20/22                 | 966.28      | 2,397.50                   |                            |                              | -1,431.22     |                               |                       |
| Sale  | 2,300.000 | 12/07/21         | 04/20/22                 | 3,174.90    | 7,881.18                   |                            |                              | -4,706.28     |                               |                       |
| Sale  | 5,000.000 | 12/08/21         | 04/20/22                 | 6,901.97    | 17,000.00                  |                            |                              | -10,098.03    |                               |                       |

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# 2022 TAX REPORTING STATEMENT

DB 7 TRUST

 Account No. 023447229 Customer Service: 800-544-6666  
 Recipient ID No. 00000000000000000000000000000000 Payer's Fed ID Number: 04-3523567

|      |            |          |          |           |           |          |           |
|------|------------|----------|----------|-----------|-----------|----------|-----------|
| Sale | 3,000.000  | 12/13/21 | 04/20/22 | 4,141.18  | 9,510.00  |          | -5,368.82 |
| Sale | 3,700.000  | 12/16/21 | 04/20/22 | 5,107.42  | 10,987.89 |          | -5,880.47 |
| Sale | 1,120.000  | 05/23/22 | 05/25/22 | 1,903.96  | 2,116.80  | 212.84   | -212.84   |
| Sale | 5,580.000  | 05/23/22 | 05/25/22 | 9,485.78  | 10,602.00 | 1,116.22 | -1,116.22 |
| Sale | 543.000    | 05/23/22 | 05/25/22 | 923.08    | 1,020.84  | 97.76    | -97.76    |
| Sale | 3,440.000  | 05/23/22 | 05/25/22 | 5,847.86  | 6,501.60  | 605.85   | -653.74   |
| Sale | 10,341.000 | 06/20/22 | 06/24/22 | 12,822.54 | 21,034.60 |          | -8,212.06 |
| Sale | 90.000     | 06/20/22 | 06/24/22 | 107.99    | 181.72    |          | -73.73    |
| Sale | 17.000     | 10/13/22 | 11/07/22 | 27.20     | 19.55     |          | 7.65      |
| Sale | 35.000     | 10/19/22 | 11/07/22 | 55.99     | 29.73     |          | 26.26     |

## FORM 1099-B\*

Copy B for Recipient OMB No. 1545-0715

## 2022 Proceeds from Broker and Barter Exchange Transactions

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|---|----------|------------------|--------------------------|-------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|--------------------------------|
| Action  | Quantity | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (b) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State 16 State Tax Withheld |
| META MATERIALS INC COM ISIN #US59134N104, MMAT, 59134N104 |          |                  |                          |             |                            |                            |                              |               |                               |                                |
| Sale  | 1.000    | 10/19/22         | 11/07/22                 | 1.60        | 0.85                       |                            |                              | 0.75          |                               |                                |
| Sale  | 6.000    | 10/24/22         | 11/07/22                 | 9.60        | 5.34                       |                            |                              | 4.26          |                               |                                |
| Sale  | 30.000   | 11/21/22         | 12/14/22                 | 42.59       | 63.30                      |                            |                              | -20.71        |                               |                                |
| Sale  | 64.000   | 11/21/22         | 12/21/22                 | 81.27       | 135.04                     |                            |                              | -53.77        |                               |                                |

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# 2022 TAX REPORTING STATEMENT

DB 7 TRUST

 Account No. 0000000000 Customer Service: 800-544-6666  
 Recipient ID No. 0000000000 Payer's Fed ID Number: 04-3523567

Subtotals

MMAT

108,127.64

213,877.94

2,032.67

- 105,750.30

 LOSS MMAT \$ 105,750.30  
 + \$ 2,944.45 - Personal Acct figure  
 - 105,750.30

NETLIST INC COM USD0.001, NLST, 64118P109

TOTAL LOSSES

108,694.75

Sale 1,130.000 05/06/22 05/06/22 6,779.96 7,458.00 -678.04

NEXTDECade CORP COM, NEXT, 65342K105

 Sale 1,345.000 05/17/22 05/25/22 9,683.78 9,428.45 255.33  
 Sale 1,350.000 05/18/22 05/25/22 9,719.77 9,976.50 -256.73

Subtotals 19,403.55 19,404.95

OBSIDIAN ENERGY LTD COM NPV POST REV SPL, OBE, 674482203

 Sale 300.000 08/26/22 09/01/22 2,579.94 3,000.00 -420.06  
 Sale 419.000 08/26/22 09/01/22 3,603.31 4,189.41 -586.10

Subtotals 6,183.25 7,189.41

PEABODY ENGR CORP COM, BTU, 704551100

Sale 760.000 04/27/22 04/28/22 17,063.43 19,988.00 -2,924.57

PFD META MATLS CONTRA, 591994371

Merger 1,700.000 12/13/22 12/29/22 0.00 0.00 0.00

## FORM 1099-B\*

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| QUICKLOGIC CORP COM NEW, QUIK, 74837P405                 |          |                  |                          |             |                            |                            |                              |               |                               |                       |                       |

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